

Storage Audit Report

Principal	
Site name	-
Report Type	Initial Storage Audit
Date:	
Commodity	Chickpeas, Wheat, Mung Beans & others
Prepared By	Geoffrey Moore
Company	Sigma ^{Cubed} Pty Ltd
Disclaimer	<i>This report is based upon conditions and practices observed and information available at the time this review. It is provided only for the use f the recipient for the sole purpose of insurance underwriting related risk evaluation. No warranties are given and no responsibility is accepted for its use by the recipient or by any third party. It does not purport to list all hazards nor indicate that other hazards do not exist. No responsibility is assumed for the control or correction of conditions or practices existing at the premises or operations. Specialist Commodity Services specifically disclaims any warranty or representation that compliance with any advice contained herein will make any premises or operations safe or healthful, or incompliance with any law, rule or regulation.</i>

COMMERCIAL IN CONFIDENCE

UNDERWRITING SUMMARY

Company	-
Location:	
Site Contact:	
email	
Phone:	
Auditor/s:	Geoffrey Moore
Date:	

General Description

Occupancy	Site is a grain processing and packing plant 100% owned by		
Harvest	Oct-Feb	Employee	15 full-time & casual employees
Hours of operation	7.30 – 4pm 5 days a week		

NARRATIVE

Business Summary: is a cereals & legumes receival, processing & packing plant accredited by AQIS for mung beans. Product is receipted in storage on order and, where necessary, graded & cleaned to meet export specifications and packed in sea-going containers and railed to the Port of Sydney. Product can be loose-bulk packed or bagged depending on the needs of individual clients. .

Site Description: The site has 3 discrete storage systems. The first is a series of 7 new 1,100 MFS sealed & aerated corrugated iron silos commissioned in October 2008. Secondly, an original set of 12 X 180mt corrugated through-put silos that are not fully sealed and, finally, a large corrugated iron flat storage used to store the mung beans under AQIS accreditation. This shed also houses a Satake Grading Plant and Satake colour sorter. See §3 of attached pictorial report “Auditing Private Storages – ”

Operations and Processes: The site is a functioning fully fledged grain receival site. Grain storage is not the core activity here with little grain stored for more than 2 weeks on average; being that grain is generally received and, where necessary, graded then packed into sea-going containers & fumigated prior to immediate consignment.

Special Underwriting Information: The site, whilst not in a direct flood prone area, is significantly affected by adverse weather. The surrounds are not fully sealed and with the prevailing clay soils in times of heavy rainfall, affects access to the storages and provides a contamination risk. Also, during the audit, it was noted that Silo #3 of the new 7 bin MFS storage unit had been significantly damaged due to water ingress. This has to pose some risk to the remaining 6 MFS silos given all were commissioned simultaneously. Whilst the subject of insurance claim at present, the consequences of this damage are highlighted throughout this report. Also of note is the failing in ERP systems to support adequate management of inventory. Note is also made of the lack of documented acceptance & rejection processes to formalize this critical function and is highlighted at the appropriate places within the report

1 INSURANCES

1.1 *Public Liability Insurance.*

1.1.1 Name of Insurer		<input type="radio"/> 0
1.1.2 Insurance Policy Number		<input type="radio"/> 1
1.1.3 Policy limits		<input type="radio"/> 2
1.1.4 Policy Expiry date		<input type="radio"/> 3

1.2 *Commodity Replacement Insurance. (100% market value)*

1.2.1 Name of Insurer		<input type="radio"/> 0
1.2.2 Insurance Policy Number		<input type="radio"/> 1
1.2.3 Policy limits		<input type="radio"/> 2
1.2.4 Policy Expiry date		<input type="radio"/> 3

1.3 *Business Interruption Insurance.*

1.3.1 Name of Insurer		<input type="radio"/> 0
1.3.2 Insurance Policy Number		<input type="radio"/> 1
1.3.3 Policy limits		<input type="radio"/> 2
1.3.4 Policy Expiry date		<input type="radio"/> 3

1.4 *Plant & Equipment Insurance.*

1.4.1 Name of Insurer		<input type="radio"/> 0
1.4.2 Insurance Policy Number		<input type="radio"/> 1
1.4.3 Policy limits		<input type="radio"/> 2
1.4.4 Policy Expiry date		<input type="radio"/> 3

2 SITE SECURITY & PRESENTATION

2.1	Are all access points to the facility able to be sealed or locked when the storage facility is not in use?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Describe: See Pictures in §2 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
2.2	Is the storage facility sealed & locked when unattended?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Describe: See Pictures in §2 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
2.3	Is access limited to employees of the storage facility only?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Describe: See Pictures in §2 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
2.4	Does the storage facility owner provide security (i.e. night security) on the storage facility?	<input type="radio"/> Yes <input checked="" type="radio"/> No	Describe: Not a real issue as the access to both [redacted] & Island Packing Services is via a private road. [redacted] southern boundary is contiguous with IPS.	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
2.5	Does the general site appear to be well maintained?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Describe: See Pictures in §2 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
2.6	Does the site appear clean & tidy?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Describe: See Pictures in §2 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

COMMERCIAL IN CONFIDENCE

3 CONSTRUCTION OF BUILDINGS / LOCATION / RISKS

3.1	What is the predominant material of construction? Concrete <input type="checkbox"/> Steel <input checked="" type="checkbox"/> Other <input type="checkbox"/>	Describe: 7 new 1,100 mt corrugated steel silos complement the original 6 X 180mt throughput silos. Also, an AQIS accredited Steel shed with concrete floors is in place for the storage of mung beans. This storage also houses the grading plants	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.2	The Fire Dept serving the facility is: Public - MFB	<input type="radio"/> Private <input checked="" type="radio"/> Public	Describe:
3.3	State the distance between the facility and the Fire Dept		
3.4	Is there adequate fire protection available at the facility? <input checked="" type="radio"/> Yes <input type="radio"/> No	If No - Plan for managing the risk?	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.5	Indicate the "visible" condition of electrical installations: <input checked="" type="radio"/> Good <input type="radio"/> Fair <input type="radio"/> Poor	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.6	Are the electrical fittings and switch boxes in good condition? <input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.7	Ignition sources have control mechanisms No Smoking <input checked="" type="checkbox"/> Hot Work <input type="checkbox"/> Other <input checked="" type="checkbox"/>	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

3 CONSTRUCTION OF BUILDINGS / LOCATION / RISKS

3.8 The storage facility is not in a flood prone area.	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.9 Access to the facility is not affected by either heavy rain or flooding.	<input type="radio"/> True <input checked="" type="radio"/> False	Comments: Access road is private road and not weather proofed or graded suitably	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
3.10 Is the roof of the facility in sound condition?	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
3.11 There are no signs of previous roof leakage.	<input type="radio"/> True <input checked="" type="radio"/> False	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
3.12 There are no visible gaps which might provide access to the outside environment. <div style="display: flex; justify-content: space-around;"> <div>Walls & Roof</div> <div><input type="radio"/> Y <input checked="" type="radio"/> N</div> </div> <div style="display: flex; justify-content: space-around;"> <div>Walls & Floor</div> <div><input type="radio"/> Y <input checked="" type="radio"/> N</div> </div> <div style="display: flex; justify-content: space-around;"> <div>Walls & Doors</div> <div><input type="radio"/> Y <input checked="" type="radio"/> N</div> </div>		Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
3.13 Do the walls appear to be structurally sound?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.14 Does the floor appear to be structurally sound & in good condition?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

COMMERCIAL IN CONFIDENCE

3 CONSTRUCTION OF BUILDINGS / LOCATION / RISKS

3.15 There is no evidence to suggest that major structural works are required to protect Commodity.	<input type="radio"/> True <input checked="" type="radio"/> False	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
3.16 No fuel or oil is stored near the warehouse?	<input checked="" type="radio"/> True <input type="radio"/> False	Comments: See Pictures in §3 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.17 There is no evidence of stored hazards like pesticides, spilled oil, deletric fluids from transformers or chemicals, in the warehouse.	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.18 Rubbish and obsolete equipment is not stored in close proximity to the Commodity, and poses no risk.	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.19 Is the external area to the storage clean?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: See Pictures in §3 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
3.20 Is the external area free of spilt Commodity?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: Working site, grain spillages are minimised and cleaned after each shift	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3
3.21 Is the external area free of weeds and plant material overgrowth?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: See Pictures in §3 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

4 INVENTORY MANAGEMENT

4.1	The storage facility is clean and free of previously stored product, such as fertiliser, chemicals, animal feeds.	<input checked="" type="radio"/> True <input type="radio"/> False	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ." .	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
4.2	There are no strong or unpleasant odours present, which could affect product being stored in the facility.	<input type="radio"/> True <input checked="" type="radio"/> False	Comments: Rotting grain from the bunker storages next door was evident along with the reported damage to Silo #3	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
4.3	There is no evidence of leakage from previously stored product on the floors	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
4.4	Does the storage facility have a working Commodity temperature monitoring system?	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comments:	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
4.5	There is a mechanism for alerting principals should there be any actual (or risk of) deterioration of Commodity — if Commodity needs to be stored longer than stated in the "agreement".	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
4.6	There are records of all transactions of Commodity into and out of the facility.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
4.7	During harvest, daily receipt information is forwarded each business day	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
4.8	Monthly stock summary reports are sent to within 5 business days — close of month end?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

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5 PEST CONTROL

5.1	There is a documented pest control program established.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: Verbal assurances provided here. Needs follow-up audit to sign-off fully.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
5.2	Advice of the programmed pest control is forwarded to clients prior to outturn	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments:	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
5.3	Pest Control Operator is:- <div style="display: flex; justify-content: space-around;"> <div>Y</div> <div>N</div> </div> <div style="display: flex; justify-content: space-around;"> <div>Licensed</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Insured</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Certified</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div>		Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
5.4	Bait stations are <div style="display: flex; justify-content: space-around;"> <div>Y</div> <div>N</div> </div> <div style="display: flex; justify-content: space-around;"> <div>Mapped</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Secured</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Tamperproof</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div>		Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – [redacted]." Verbal assurances provided here. Needs follow-up audit to sign-off fully.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
5.5	The facility is treated prior to Commodity receipt to ensure that it is free of infestation of any kind	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: Verbal assurances provided here; needs follow-up audit to sign-off fully.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
5.6	Indicate date of treatment prior to Commodity receipt			
5.7	State the date that Commodity was receipted into storage.			
5.8	How is this "infestation free" state confirmed?	Comments: Screening into containers and horizontal storages are monitored visually for signs of infestation		

COMMERCIAL IN CONFIDENCE

5 PEST CONTROL

5.9	There is a pest activity 1) Trend report 2) With corrective action identified Monthly checks for the presence of insects are conducted / recorded.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: Verbal assurances provided here. Needs follow-up audit to sign-off fully.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3																																														
5.10	Pesticides utilised on site are approved for use and MSDS are available <table border="0"> <tr> <td></td> <td>Qty</td> <td>In Use?</td> <td>MSDS Y N</td> <td rowspan="7"> Comments: See Pictures in §5 of attached Supplementary report "Auditing of Private Storages – ." <input type="radio"/> 0 <input checked="" type="radio"/> 5 <input type="radio"/> 10 <input type="radio"/> 15 </td> </tr> <tr> <td>Chlorpyrifos Methyl/Methoprene</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> </tr> <tr> <td>Fenitrothion</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> </tr> <tr> <td>Deltramethrin</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> </tr> <tr> <td>Dichlorvos</td> <td><input type="text" value="3"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="radio"/> Y <input checked="" type="radio"/> N</td> </tr> <tr> <td>Dryacide</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> </tr> <tr> <td>Phospine</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> </tr> <tr> <td></td> <td></td> <td></td> <td></td> <td></td> </tr> <tr> <td></td> <td>Methyl Bromide</td> <td><input type="text" value="25"/></td> <td><input checked="" type="checkbox"/></td> <td><input type="radio"/> Y <input checked="" type="radio"/> N</td> <td></td> </tr> <tr> <td></td> <td>Nitrogen/Carbon dioxide</td> <td><input type="text"/></td> <td><input type="checkbox"/></td> <td><input type="radio"/> Y <input type="radio"/> N</td> <td></td> </tr> </table>		Qty	In Use?	MSDS Y N	Comments: See Pictures in §5 of attached Supplementary report "Auditing of Private Storages – ." <input type="radio"/> 0 <input checked="" type="radio"/> 5 <input type="radio"/> 10 <input type="radio"/> 15	Chlorpyrifos Methyl/Methoprene	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N	Fenitrothion	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N	Deltramethrin	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N	Dichlorvos	<input type="text" value="3"/>	<input checked="" type="checkbox"/>	<input type="radio"/> Y <input checked="" type="radio"/> N	Dryacide	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N	Phospine	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N							Methyl Bromide	<input type="text" value="25"/>	<input checked="" type="checkbox"/>	<input type="radio"/> Y <input checked="" type="radio"/> N			Nitrogen/Carbon dioxide	<input type="text"/>	<input type="checkbox"/>	<input type="radio"/> Y <input type="radio"/> N				
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5.11	There is a pest activity 1) Trend report 2) With corrective action identified	<input type="radio"/> Y <input type="radio"/> N <input checked="" type="radio"/> Y <input type="radio"/> N <input checked="" type="radio"/> Y <input type="radio"/> N	Comments: Verbal assurances provided here. Needs follow-up audit to sign-off fully.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3																																														
5.12	There is no evidence of pest activity	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3																																														
5.13	There is no evidence of EXTERNAL pest activity	<input checked="" type="radio"/> True <input type="radio"/> False	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3																																														

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5 PEST CONTROL

5.14 All pest control devices are located in such a manner so as not to contaminate stored Commodities.	<input checked="" type="radio"/> True <input type="radio"/> False	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
5.15 All pesticides stored on site are:- <div style="text-align: right;"> Labelled Properly stored </div>	<div style="display: flex; justify-content: space-around;"> Y N </div> <div style="display: flex; justify-content: space-around;"> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> </div>	Comments: See Pictures in §4 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
5.16 Records of pesticide / fumigation treatments are kept, showing: <div style="text-align: right;"> Chemical Rate Application Date </div>	<div style="display: flex; justify-content: space-around;"> Y N </div> <div style="display: flex; justify-content: space-around;"> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> </div>	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

6 WEIGHBRIDGE FACILITIES

6.1 Are there adequate weighbridge facilities on site?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comments: See Pictures in §6 of attached Supplementary report "Auditing of Private Storages – ."	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3
6.2 If not, are there adequate weighbridge facilities in close proximity of the storage facility?	<input type="radio"/> Yes <input type="radio"/> No <input checked="" type="radio"/> N/A	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
6.3 If the weighbridge is on site is there evidence of current certification? (State Certifying body & date certified)	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	Comments:	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3

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7 COMMODITY RECEIPT / STORAGE

7.1 Only _____ product is stored in this facility	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comment: Contract packing is performed on behalf of 3 rd Parties.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.2 Is there a Commodity storage plan or map?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.3 If other similar materials are stored here, but are not the property of _____, is there written consent to this arrangement?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	Comment: All works are conducted with terms of documented service agreement between _____ & clients.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.4 All Commodity receipted into the facility meets the relevant receival standards	<input type="radio"/> True <input checked="" type="radio"/> False	Comment: Product is received into storage out-side of receival standards ranges with the acceptance (written) of _____, exporter & producer.	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
7.5 Grades are not commingled within storage.	<input type="radio"/> True <input checked="" type="radio"/> False	Comment: Some commingling exists, especially where product is to be graded prior to shipment	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
7.6 The facility used for storage is an "agreed" facility.	<input checked="" type="radio"/> True <input type="radio"/> False	Comment: AQIS accredited Mung Bean processing & storage plant.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.7 Are "receival" pits in use?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: See Pictures in §7 of attached Supplementary report "Auditing of Private Storages – _____."	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3

COMMERCIAL IN CONFIDENCE

7 COMMODITY RECEIPT / STORAGE

7.8 Are the pits protected from external contamination?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A	Comment: See Pictures in §7 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
7.9 Are they covered when not use?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A	Comment: See Pictures in §7 of attached Supplementary report "Auditing of Private Storages – ."	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
7.10 Are the pits covered & secured when not in use?	<input type="radio"/> Yes <input checked="" type="radio"/> No <input type="radio"/> N/A	Comment:	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
7.11 Are the elevators / augers protected from external contamination?	<input checked="" type="radio"/> Yes <input type="radio"/> No <input type="radio"/> N/A	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.12 The storage facility minimises food safety risks and Commodity deterioration by monitoring:-	<div>Y N</div> <div> <div>Temperature</div> <div>Moisture</div> <div>Mould</div> <div>Insects</div> <div>Birds</div> <div>Rodents</div> <div>Damage</div> <div>Site Hygiene</div> </div> <div> <input type="radio"/> <input checked="" type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> <input checked="" type="radio"/> <input type="radio"/> </div>	Comment:	<input type="radio"/> 0 <input type="radio"/> 5 <input checked="" type="radio"/> 10 <input type="radio"/> 15

COMMERCIAL IN CONFIDENCE

7 COMMODITY RECEIPT / STORAGE

7.13 Commodity is stored away from sources of chemical contamination	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: See Pictures in §7 of attached Supplementary report “Auditing of Private Storages – .”	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.14 Commodity is stored away from sources of physical contamination	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
7.15 Lighting and fixtures in the product storage area are glass-free or known to be shatterproof — pose no risk to product.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

8 QUALITY TESTING / RECORDING

8.1	A copy of relevant Receival Standards is available for all commodities stored at the site?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: See Pictures in §8 of attached Supplementary report "Auditing of Private Storages – ." .	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
8.2	Sampling methods used for Commodity quality assessments are approved and documented?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: See Pictures in §8 of attached Supplementary report "Auditing of Private Storages – ." .	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
8.3	The storage provider supplies the following results of testing: <div style="display: flex; justify-content: space-around;"> <div>Test Weight (kg/hL)</div> <div>Y N</div> </div> <div style="display: flex; justify-content: space-around;"> <div>Protein (NIR)</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Moisture (NIR)</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Varietal admixture/admixture (%)</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Objective screenings (%)</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Falling Number (s)</div> <div><input checked="" type="radio"/> <input type="radio"/></div> </div> <div style="display: flex; justify-content: space-around;"> <div>Other</div> <div><input type="radio"/> <input type="radio"/></div> </div>	Comment: See Pictures in §8 of attached Supplementary report "Auditing of Private Storages – ." .	<input type="radio"/> 0 <input type="radio"/> 5 <input checked="" type="radio"/> 10 <input type="radio"/> 15	
8.4	Does the test equipment carry an approval status?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Provide details: Instrument verification performed	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3
8.5	Test methods used for assessment are documented and approved	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comment: No Sampler's Manual Sighted	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
8.6	Instruments used for protein assessment and subsequent payments are registered / pattern certified for trade purposes?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: See Pictures in §8 of attached Supplementary report "Auditing of Private Storages – ." .	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3

8 QUALITY TESTING / RECORDING

8.7 Is quality measuring instruments / devices checked for accuracy?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: In Accord with NMI requirements for Protein	<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input checked="" type="radio"/> 3
8.8 The facility provides clients with running average quality data for protein, falling no., and screenings based on analysis of 500MT samples.	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comment: Available upon request.	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
8.9 How are running samples prepared?	Comment: Not applicable		<input type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
8.10 Are running samples retained for further analysis by - if required?	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: Retained for a period of 3 months.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
8.11 Commodity meets nominated Receival Standards at out- turn, and is representative	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment: Samples are collected and analysed on outturn.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
8.12 Quality results of Commodity are kept for both intake and out-turn.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment.	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
8.13 What happens if Commodity does not meet receival standards on either intake or out- turn? I.e. Non Conformance	Comment: A rejection procedure has been implemented. See addendum in Supplementary report "Auditing of Private Storages – ."		<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3

9 SAFETY / TRAINING

9.1 A documented HSE policy exists that is approved and signed off by Chief Executive Officer of Storage Provider.	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comment:	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
9.2 Operators are trained to operate equipment / observe confined spaces/ hot work permits etc.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
9.3 Operators performing quality testing have been 1) trained and 2) training records are kept	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
9.4 Records of training and competencies are kept	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input type="radio"/> 1 <input checked="" type="radio"/> 2 <input type="radio"/> 3
9.5 First Aid facilities are available and well stocked.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
9.6 A list of emergency contacts is readily available (Fire, Police / Doctor / Hospital), and up to date.	<input type="radio"/> Yes <input checked="" type="radio"/> No	Comment:	<input checked="" type="radio"/> 0 <input type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3
9.7 Records of safety incidents are kept and investigations into their cause are undertaken.	<input checked="" type="radio"/> Yes <input type="radio"/> No	Comment:	<input type="radio"/> 0 <input checked="" type="radio"/> 1 <input type="radio"/> 2 <input type="radio"/> 3

10 SCORING SECTION & SUMMARY

SECTION	Maximum possible score	Minus Score for N/A	Actual Score
1 INSURANCES	12	0	0
2 SITE SECURITY & PRESENTATION	18	18	10
3 CONSTRUCTION OF BUILDINGS / LOCATION / RISKS	57	57	30
4 INVENTORY MANAGEMENT	24	24	10
5 PEST CONTROL	51	51	23
6 WEIGHBRIDGE FACILITIES	9	6	6
7 COMMODITY RECEIPT / STORAGE	57	57	29
8 QUALITY TESTING / RECORDING	51	48	31
9 SAFETY / TRAINING	21	21	8
TOTAL	300	282	147

RANKING**52%**

RECOMMENDATION

Based on and limited to the findings made herein, I find the systems and risk management processes to be adequate for the purpose/s as intended. This statement is made on the date & time of the audit and is to be read in accordance with the disclaimer listed on the cover of this report. It is also further recommended a follow up audit is conducted to address the highlighted items above.